



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Masahiro OGAWA et al.

Group Art Unit

(To Be Assigned)

Application No.

10/589,333

Examiner

(To Be Assigned)

Filed

August 14, 2006

Docket No.

960/216

For

BRAYTON CYCLE DEVICE AND EXHAUST HEAT

ENERGY RECOVERY DEVICE FOR INTERNAL

COMBUSTION ENGINE

INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT

COMMISSIONER FOR PATENTS Customer Service Window Randolph Bldg. 401 Dulany Street Alexandria, VA 22314

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). The paragraphs marked below are applicable. It is believed that no fees other than those indicated below are due, but authorization is hereby given to charge any additional fees due, or to credit any overpayment, to deposit account 11-0600.

1. This Information Disclosure Statement is being filed (a) within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d), (b) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, (c) before the mailing date of a first Office Action on the merits in the present application, OR (d) before the mailing of a first office action after filing of a request for continued examination. No certification or fee is required.

☑ 2. The reference was cited in a corresponding foreign application. An English translation of the International Preliminary Report is attached for the Examiner's information.

Respectfully submitted,

Date: March 2, 2007

(Reg. No. 54,863)

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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(Use as many sheets as necessary)

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

10/589,333 Application Number Filing Date August 14, 2006 First Named Inventor Masahiro OGAWA Art Unit (To Be Assigned) Examiner Name (To Be Assigned)

of 1 960/216 Attorney Docket Number

Sileer			Allom	ey Docket Number	900/210	
	U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	cant of Pages, Columns, Lines, Where Relevant	
		Number - Kind Code ² (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear	
				 		
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FOREIGN PATENT DOCUMENTS						
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NON PATENT LITERATURE DOCUMENTS				
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	1	English Translation of International Preliminary Report for Appln. No. PCT/JP2005/001299 mailed December 7, 2006		

Examiner Signature	Date Considered	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.